

The horoboundary of Riemannian manifolds

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Outline

- 1 History
- 2 "Näives" Compactifications
- 3 The Gromov Compactification
- 4 Examples
 - Flutes
 - Ladders
 - Heisenberg group
- 5 Geometrically finite manifolds
 - General results
 - Example in dimension $n = 3$

Rays, parallels, Busemann functions...

XIX c. Beltrami : Desargues spaces

- the only simply connected are \mathbb{E}^n , \mathbb{S}^n , \mathbb{H}^n

late XIX c. Hadamard : nonpositively curved surfaces in \mathbb{E}^3

- unicity of geodesics in a homotopy class
- ends (cusps, funnels)
- rays asymptotic to cusps and funnels

1920's Cartan : generalization to higher dimension (Cartan-Hadamard manifolds)

- $\exp_o : T_o X \rightarrow X$ diffeomorphism
- no geodesic loops, no critical points...

1940's Busemann : Desargues *geodesic spaces*

- theory of parallels & **Busemann functions**

↪ 1970's Gromov : functional compactification of general Riemannian manifolds

Some other applications of the Busemann functions:

Soul Theorem (Cheeger-Gromoll-Meyer), *Toponogov' Splitting Theorem*,
Harmonic and (noncompact) Symmetric spaces, dynamics of Kleinian groups...

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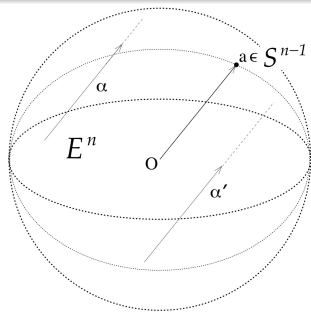
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Compactifying by adding "directions"

Example. $X = \mathbb{E}^n$

$$\partial X = \{\text{half-lines}\} / \text{oriented parallelism} \cong \mathbb{S}^{n-1}$$

$$\bar{X} = X \cup \partial X \cong \mathbb{B}^{n-1}$$

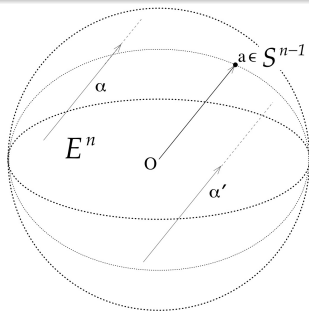


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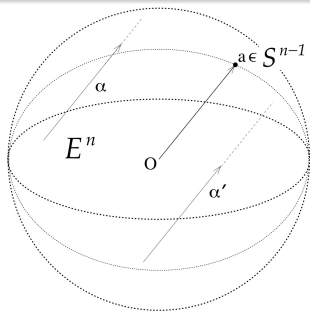
Näif idea: X general, complete Riemannian manifold

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Näif idea: X general, complete Riemannian manifold

$$\mathcal{R}(X) = \{\text{rays of } X\}$$

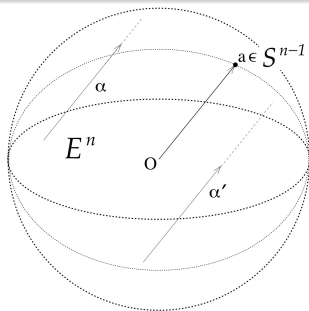
$\alpha : \mathbb{R}^+ \rightarrow X$ is a ray if it is globally minimizing
i.e. $\ell(\alpha; s, t) = d(\alpha(s), \alpha(t))$ for all $s, t \geq 0$

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Näif idea: X general, complete Riemannian manifold

$$\mathcal{R}(X) = \{\text{rays of } X\}$$

$$\partial X = \mathcal{R}(X) / \text{"oriented parallelism"}$$

$$\bar{X} = X \cup \partial X$$

(with some reasonable topology to be defined)

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oriented parallelism = ?
 (on general Riemannian manifolds)

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Parallelism for rays on a general, complete Riemannian manifold X :

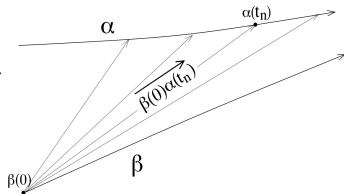
- α and β are *metrically asymptotic* (i.e. $d_\infty(\alpha, \beta) < \infty$)
if $\sup_{t \geq 0} d(\alpha(t), \beta(t)) < +\infty$
- α tends *visually* to β (i.e. $\alpha \succ \beta$) $-\beta$ coray to $\alpha-$

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if $\overrightarrow{\beta(0)\alpha(t_n)} \rightarrow \beta$ for some $\{t_n\} \rightarrow \infty$

\overrightarrow{xy} = a minimizing geodesic segment from x to y



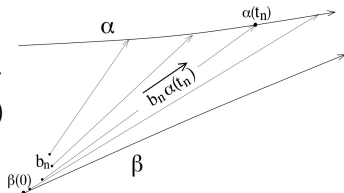
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if $\overrightarrow{b_n \alpha(t_n)} \rightarrow \beta$ for some $\{t_n\} \rightarrow \infty$, $\{b_n\} \rightarrow \beta(0)$

\overrightarrow{xy} = a minimizing geodesic segment from x to y

Technical fact: the correct definition of visual convergence asks for a sequence $b_n \rightarrow \beta(0)$ with $\overrightarrow{b_n \alpha(t_n)} \rightarrow \beta$



Compactifying by adding "directions"

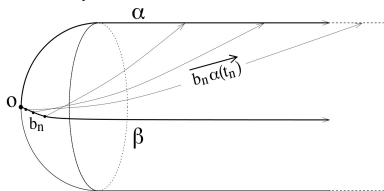
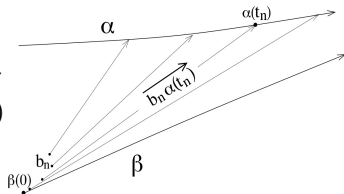
Parallelism for rays on a general, complete Riemannian manifold X :

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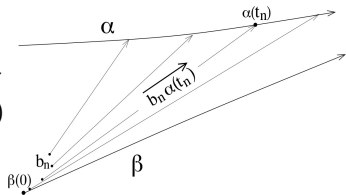
Otherwise, on a spherical-capped cylinder with pole o , different meridians would not be visually equivalent rays from o !



Compactifying by adding "directions"

Parallelism for rays on a general, complete Riemannian manifold X :

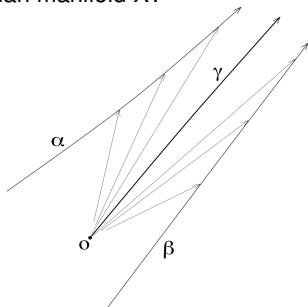
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 if $\overrightarrow{b_n \alpha(t_n)} \rightarrow \beta$ for some $\{t_n\} \rightarrow \infty$, $\{b_n\} \rightarrow \beta(0)$
 (not symmetric, a priori)



Compactifying by adding "directions"

Parallelism for rays on a general, complete Riemannian manifold X :

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if $\sup_{t \geq 0} d(\alpha(t), \beta(t)) < +\infty$
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if \exists a ray γ from o such that $\alpha \succ \gamma$ and $\beta \succ \gamma$



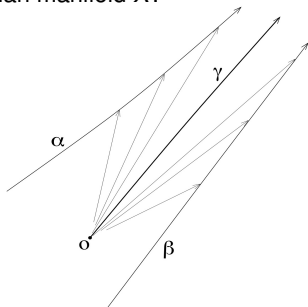
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That is:

$\alpha \prec_o \beta$ if one can see (asymptotically) α and β
under a same direction from o



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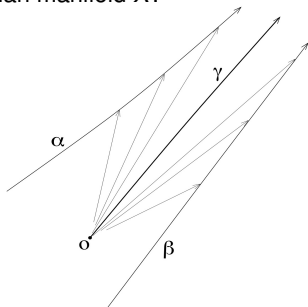
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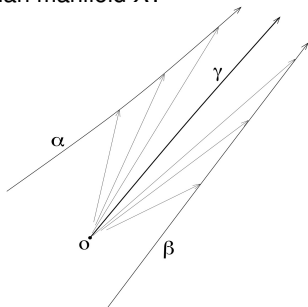
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Theorem. [Folklore: Busemann, Shihoama et al.]

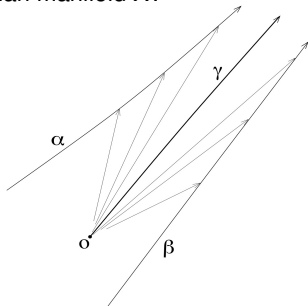
Two rays α and β are visually asymptotic from every point o iff $B_\alpha = B_\beta$

"visually asymptotic"

Compactifying by adding "directions"

Parallelism for rays on a general, complete Riemannian manifold X :

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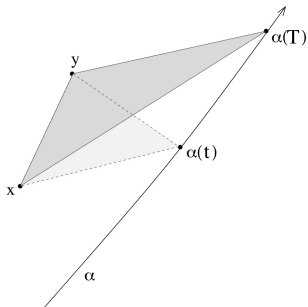
$B_\alpha =$ the Busemann function of the ray α

Compactifying by adding "directions"

Busemann function of a ray on a general, complete Riemannian manifold X :

$$B_\alpha(x, y) = \lim_{t \rightarrow +\infty} x\alpha(t) - \alpha(t)y$$

(asymptotic defect of triangles xyp
with third vertex $p = \alpha(t)$, $t \gg 0$)



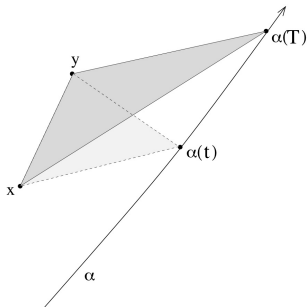
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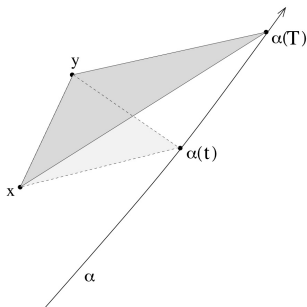
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Example: $X = \mathbb{E}^n$

\vec{xy} parallel to $\alpha \Leftrightarrow xy + y\alpha(t) - x\alpha(t) \rightarrow 0$
(as x, y are fixed) $\Leftrightarrow B_\alpha(x, y) = d(x, y)$



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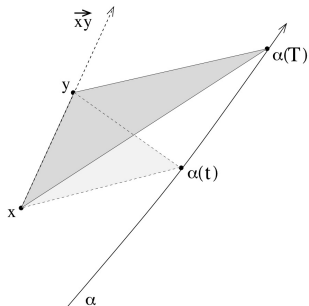
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Folklore: $X = \text{any Riemannian manifold}$

$B_\alpha(x, y) = d(x, y)$ iff (\vec{xy}) is a ray and $\alpha \succ \vec{xy}$.



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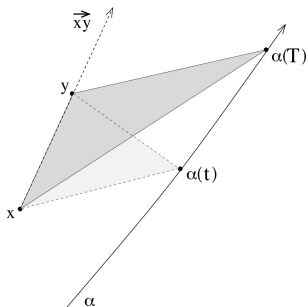
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if $B_\alpha = B_\beta$ then $\alpha \succ \gamma$ iff $\beta \succ \gamma$ for every γ (and reciprocally)



Compactifying by adding "directions"

X = general, complete Riemannian manifold

$\partial X \doteq \partial_m X = \mathcal{R}(X) / (d_\infty(\alpha, \beta) < +\infty)$ i.e. modulo metric asymptoticity

$\partial X \stackrel{\text{or}}{\doteq} \partial_v X = \mathcal{R}(X) / (B_\alpha = B_\beta)$ i.e. modulo visual asymptoticity

$\rightsquigarrow \bar{X} = X \cup \partial X$ compactifies? what topology?

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which means: $\alpha_n \rightarrow \alpha$ iff $\alpha'_n(0) \rightarrow \alpha'(0)$.

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Theorem. [Folklore: Eberlein, O' Neill...]

Let X be a Cartan-Hadamard manifold (= complete, simply connected with $k(X) \leq 0$).

(i) $d_\infty(\alpha, \beta) < +\infty \Leftrightarrow B_\alpha = B_\beta$, so $X(\infty) \doteq \partial_m X = \partial_v X =$ the *visual boundary*

(ii) \bar{X} has a natural "visual" topology such that $X \hookrightarrow \bar{X}$ is a topological embedding

($x_n \rightarrow \xi \in X(\infty)$ iff $\angle_o x_n, \alpha(n) \rightarrow 0 \exists \alpha \in \xi, \exists o \in X$)

(iii) \bar{X} is a compact, metrizable space.

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- (i) $d_\infty(\alpha, \beta) < +\infty \Leftrightarrow B_\alpha = B_\beta$, so $X(\infty) \doteq \partial_m X = \partial_v X$ = the *visual boundary*
- (ii) \bar{X} has a natural "visual" topology such that $X \hookrightarrow \bar{X}$ is a topological embedding
 $(x_n \rightarrow \xi \in X(\infty) \text{ iff } \angle_o x_n, \alpha(n) \rightarrow 0 \exists \alpha \in \xi, \exists o \in X)$
- (iii) \bar{X} is a compact, metrizable space.

Problem. This nice picture breaks down when $\pi_1(X) \neq (1)$ or $k(X) \not\leq 0$.

Compactifying by adding "directions"

Examples: flutes and ladders [Dal'Bo & Peigné & S.]

There exist hyperbolic manifolds X with rays α, β and $\alpha_n \rightarrow \alpha$ in each of the following situations:

- (a) $\alpha \succ \beta$ and $\beta \succ \alpha$ but $B_\alpha \neq B_\beta$
- (b) $d_\infty(\alpha, \beta) < \infty$ but $B_\alpha \neq B_\beta$
- (c) $d_\infty(\alpha, \beta) = \infty$ but $B_\alpha = B_\beta$
- (d) $d_\infty(\alpha_n, \alpha_m) < \infty$ and $B_{\alpha_n} = B_{\alpha_m} \forall n, m$ but $d_\infty(\alpha_n, \alpha) = \infty$ and $B_{\alpha_n} \neq B_\alpha$

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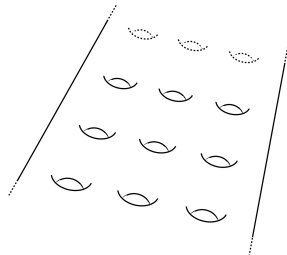
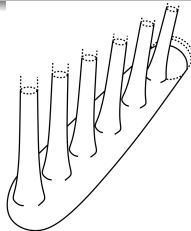
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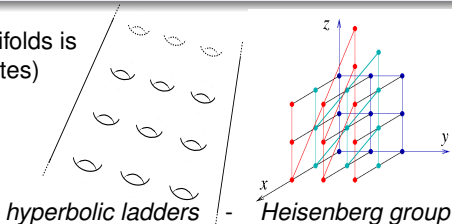
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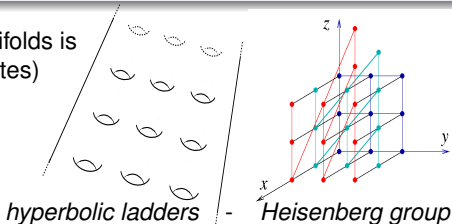
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\rightsquigarrow no easy "picture" of the Gromov boundary



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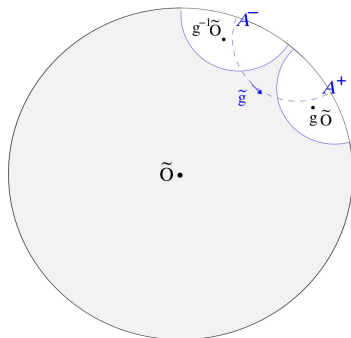
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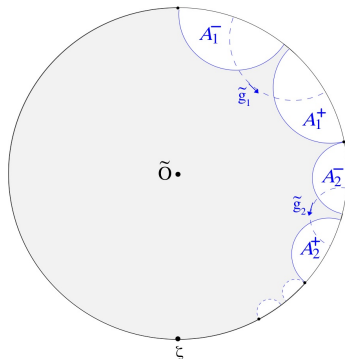
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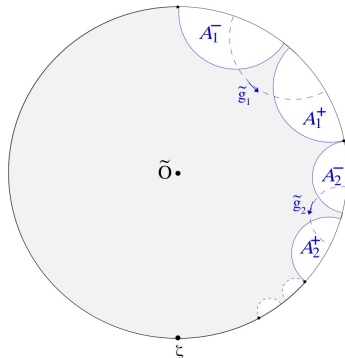
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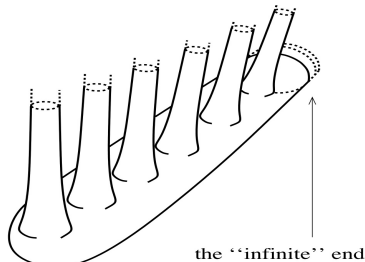
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- $\Rightarrow X = G \backslash \mathbb{H}^2$
 hyperbolic flute
- each hyperbolic $g_i \rightsquigarrow$ funnel
 each hyperbolic $g_j \rightsquigarrow$ cusp
 $\zeta \rightsquigarrow$ the "infinite" end e



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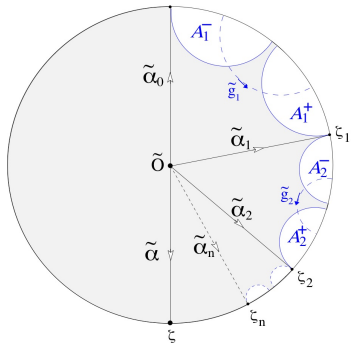
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For $A(g_i, \tilde{o})$ and ζ_i as in the picture: $\tilde{\alpha}_i = \vec{o}\zeta_i$, $\tilde{\alpha} = \vec{o}\zeta$
 $\rightsquigarrow \alpha_i = \tilde{\alpha}_i / G$ metrically asymptotic rays on $X = G \backslash \mathbb{H}^2$
 even: $d_\infty(\alpha_i, \alpha_j) = 0$, so $B_{\alpha_i} = B_{\alpha_j}$

We have $\alpha_i \rightarrow \alpha$ but: $B_\alpha \neq \lim_{i \rightarrow \infty} B_{\alpha_i} = B_{\alpha_0}$



Twisted Hyperbolic flute

Theorem. [Dal'Bo & Peigné & S.]

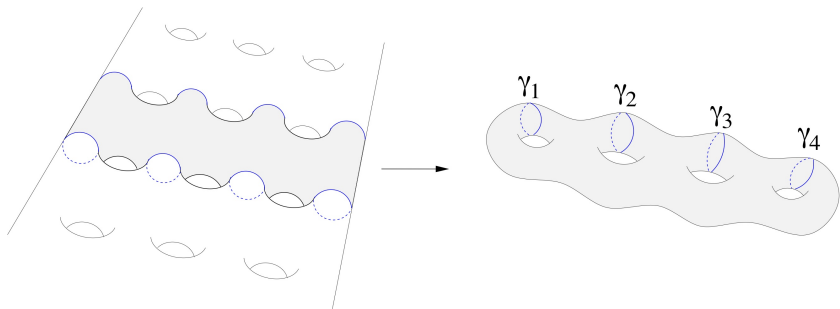
- There exists a hyperbolic ladder $X \rightarrow \Sigma_2$ with group $G \cong \mathbb{Z}$ and rays α, α' such that:
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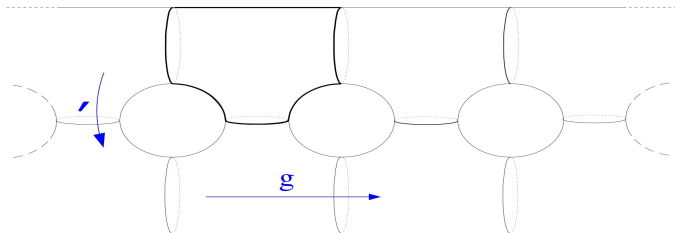
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hyperbolic ladder = $\left\{ \begin{array}{l} \mathbb{Z}\text{-covering of a closed hyperbolic surface } \Sigma_g \text{ of genus } g \geq 2 \\ \text{obtained by glueing infinitely many copies of } \Sigma_g - \bigcup_{i=1}^g \gamma_i \\ \text{with } (\gamma_i) \text{ simple, closed non-intersecting fundamental geodesics} \end{array} \right.$



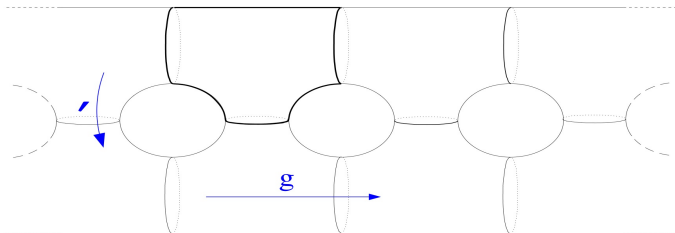
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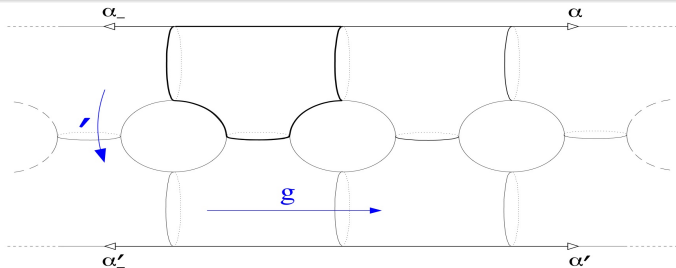
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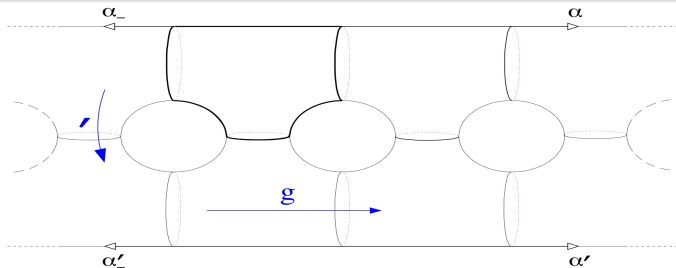
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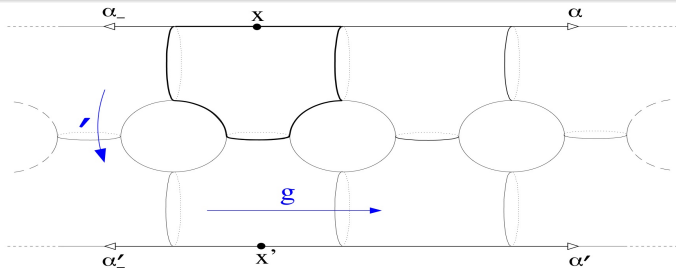


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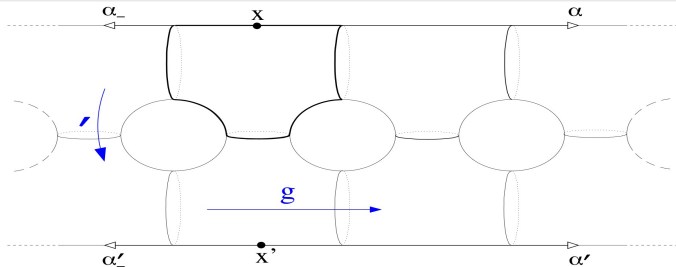
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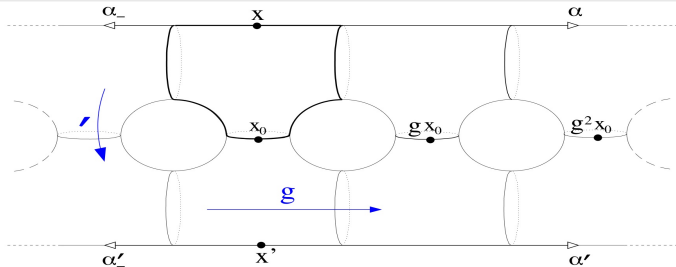
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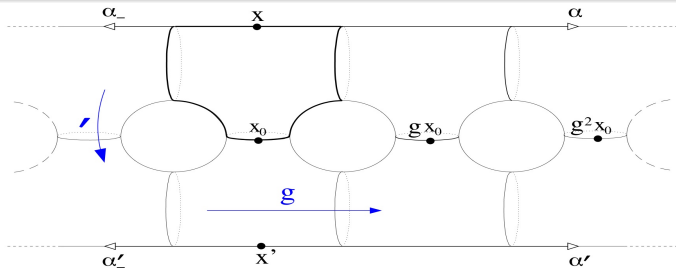
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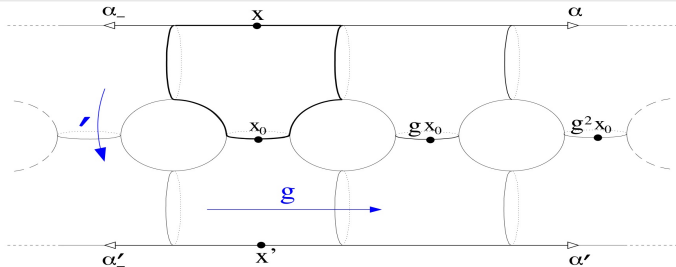
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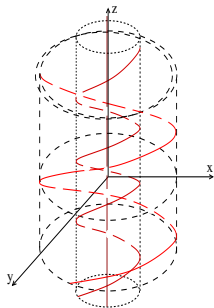
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Remark: there are no rays in (\mathbf{H}, d_R) beyond plane geodesics.
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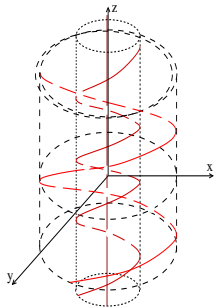
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Theorem. [Klein & Nikas (C.C. case) - Le Donne & Nicolussi & S. (Riemannian case)]

(i) The Gromov Boundary of the Heisenberg group endowed with any C.C. or left invariant Riemannian metric is homeomorphic to a 2-dimensional closed disk \bar{D}^2 ;

(ii) if d_R and d_{CC} are compatible, then they are *strongly asymptotically isometric*:

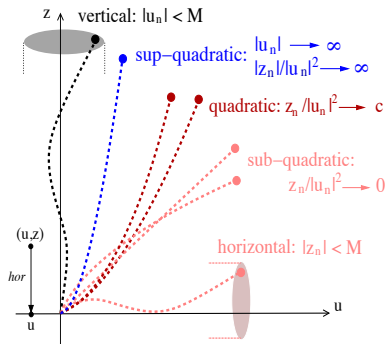
$$d_R(P, Q) - d_{CC}(P, Q) \rightarrow 0 \text{ for } d(P, Q) \rightarrow \infty$$

Ways of diverging in the Heisenberg group

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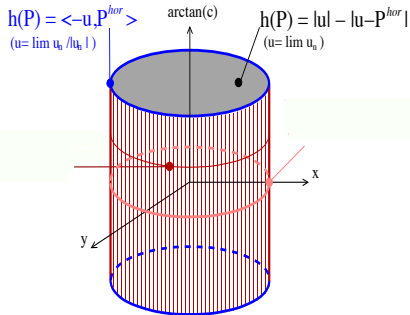
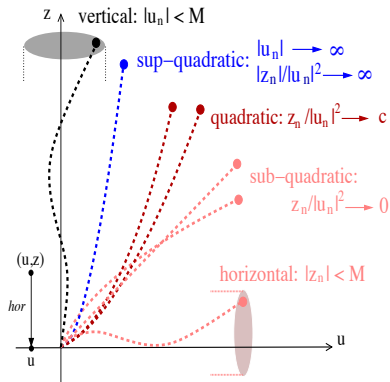
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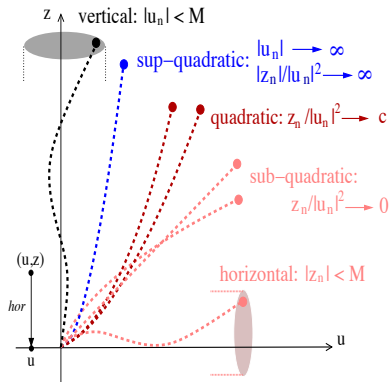
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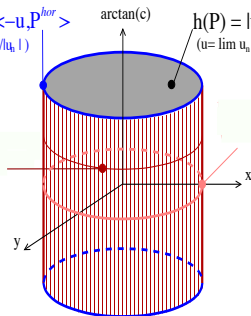


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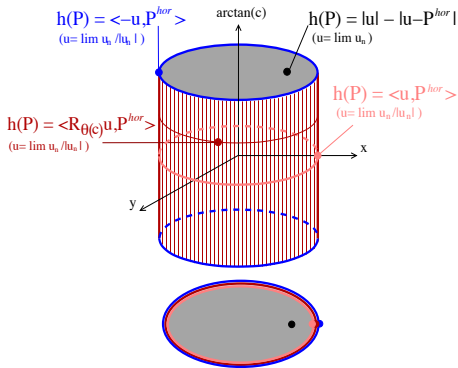
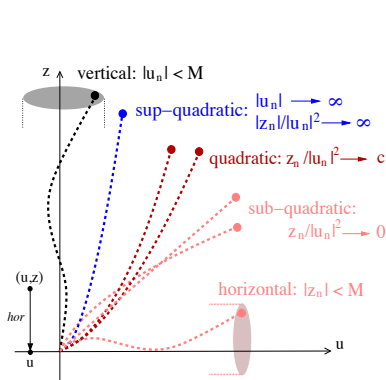
$h(P) = \langle -\vec{u}, P^{hor} \rangle$
 $(u = \lim u_n, |z|)$
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Facts: a) (correct gluing) if $|u_n| \rightarrow \infty$, then $\langle -\vec{u}, P \rangle = \lim_{n \rightarrow \infty} (|\vec{u}_n| - |\vec{u}_n - P|)$ for $\vec{u} = \lim_n \vec{u}_n / |u_n|$

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b) (identification) if $\begin{cases} \vec{u}_n \rightarrow u \\ z_n / |\vec{u}_n|^2 \rightarrow c \end{cases}$ and $\begin{cases} \vec{u}'_n \rightarrow u \\ z'_n / |\vec{u}'_n|^2 \rightarrow c' \end{cases}$ then $h = h'$ iff $R_{\theta(c)} \vec{u} = R_{\theta(c')} \vec{u}'$

Geometrically finite manifolds

= a large class of (negatively curved) manifolds with finitely generated $\pi_1(X)$

- $\dim(X) = 2 \rightsquigarrow$ same as $\pi_1(X)$ f.g.
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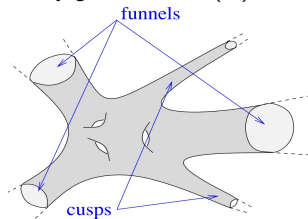
$X = G \backslash H$ $H =$ Cartan-Hadamard, $-b^2 \leq k(H) \leq -a^2 < 0$

LG the limit set of G , $CG \subset H$ its convex hull

$CX = G \backslash CG \subset X$ the *Nielsen core* of X

(the smallest closed and convex subset of X containing all the geodesics which meet infinitely many often a compact set)

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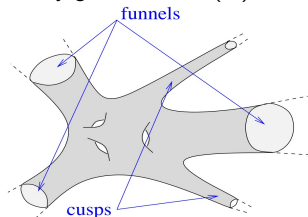
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Theorem. [Dal'Bo & Peigné & S.]

Let $X = G \backslash H$ be a geometrically finite manifold, and α, β rays of X :

- (i) $d_\infty(\alpha, \beta) < \infty \Leftrightarrow \alpha \succ \beta \Leftrightarrow B_\alpha = B_\beta \implies X(\infty) \cong \mathcal{R}(X) / \text{equiv.} \cong \partial X$
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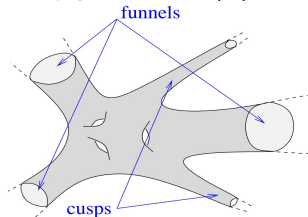
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$\xi \in \bar{X}$ is a *conical singularity* if it has a neighbourhood homeomorphic to the cone over some topological manifold)

The simplest (non-compact, non simply-connected) geometrically finite 3-manifold

$P = \langle p \rangle$ infinite cyclic parabolic group of \mathbb{H}^3 , $X = G \backslash \mathbb{H}^2$

$LP = \{\xi\}$ the limit set $Ord(P) = \partial\mathbb{H}^3 - \xi$ the discontinuity domain

$D(P, \bar{o}) = \{x \in \mathbb{H}^3 : d(x, \bar{o}) \leq d(x, p^n \bar{o}), \forall n \in \mathbb{Z}\}$ the Dirichlet domain

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$D(P, \tilde{o}) = \{x \in \mathbb{H}^3 : d(x, \tilde{o}) \leq d(x, p^n \tilde{o}), \forall n \in \mathbb{Z}\}$ the Dirichlet domain

- 1 see $X = P \backslash D(P, \tilde{o}) = P \backslash [H_\xi \times (0, +\infty)] \cong Cil \times (0, +\infty)$
- 2 add the ordinary Dirichlet points: $X' = X \cup [\partial D(P, \tilde{o}) \cap Ord(P)] \cong Cil \times [0, +\infty)$
- 3 adding one point corresponding to $\xi \leftrightarrow P$ [with the topology: $x_n \rightarrow \xi$ for any diverging (x_n)]:
 $\bar{X} = X' \cup \{\xi\} \cong \overline{Cil} \times [0, +\infty) / [B^+ = B^- = (x, +\infty)]$

The simplest (non-compact, non simply-connected) geometrically finite 3-manifold

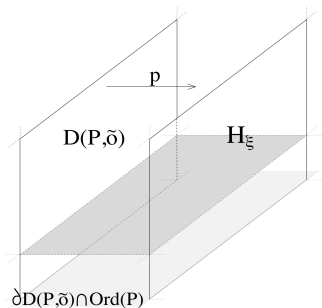
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The simplest (non-compact, non simply-connected) geometrically finite 3-manifold

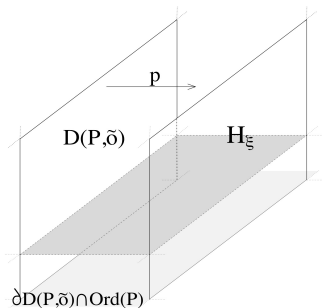
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The simplest (non-compact, non simply-connected) geometrically finite 3-manifold

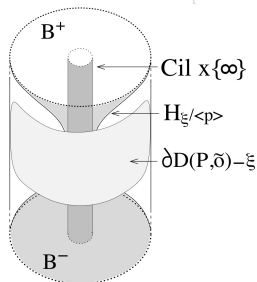
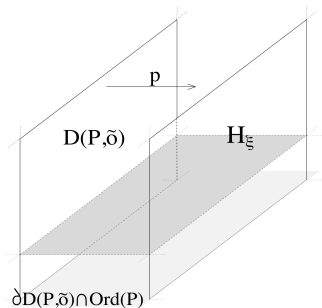
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$X' =$ solid infinite cylindrical shell

The simplest (non-compact, non simply-connected) geometrically finite 3-manifold

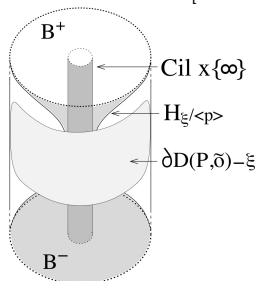
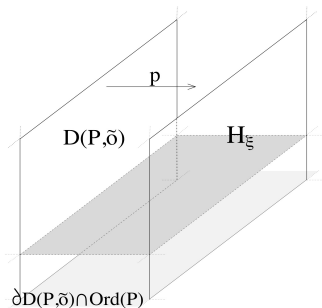
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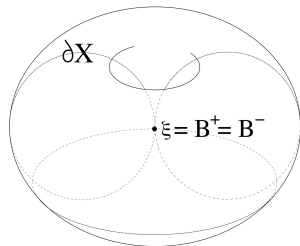
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$X' =$ solid infinite cylindrical shell



$\bar{X} =$ solid spindle torus